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PATENT TRADEMARK OFFICE Atty. Docket: 0004897/0512745

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:

Ronald Alan Coffee

: Paper No:

Serial No.

09/758,716

: Group Art Unit:

3761

Filed:

January 11, 2001

: Examiner:

Kim M. Lewis

For:

DISPENSING DEVICE AND METHOD FOR FORMING MATERIAL

REVOCATION AND NEW APPOINTMENT BY ASSIGNEE

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Dear Sir.

The undersigned, being Assignee of the entire interest in and to the above application, hereby revokes all former Powers of Attorney and appoints Steven J. Goldstein, Registration No. 28,079; Edwin R. Acheson, Jr., Registration No. 31,808; Stephen R. Albainy-Jenei, Registration No. 41,487; David E. Franklin, Registration No. 39,194; William E. Gallagher, Registration No. 35,145; James M. Kipling, Registration No. 26,287; Kelly P. Moore, Registration No. 48,789; Victor C. Moreno, Registration No. 40,732; Ann G. Robinson, Registration No. 39,820; Ria Farrell Schalnat, Registration No. 47,058; David E. Schmit, Registration No. 28,472; Karlyn A. Schnapp, Registration No. 45,558; Ralph J. Skinkiss, Registration No. 26,105; and Kevin S. Sprecher, Registration No. 42,165; c/o Frost Brown Todd LLC, 2200 PNC Center, 201 East Fifth Street, Cincinnati, Ohio 45202 (513) 651-6800, attorneys, with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent Office connected therewith.

BATTELLE MEMORIAL INSTITUTE

Date 4/30/03

rinted name: Jerome R. Bahlmann

Tide: Senior Vice-President and

General Counsel

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PTO/SB/84 (08-07)

Approved for use through 10/21/2002, DME 0531-0631 U.S.Patent and Tradement Office; U.S. DEPARTMENT OF COMMERCE

Under the Paparacric Reduction Act of 1986, no paraone are required to respond to a collection of information unless it allegacy a valid CNLB control number STATEMENT UNDER 37 CFR 3.73(b) Ronald Alan Coffee Applicant/Patent Owner. January 11, 2001 09/758,716 Filed/Issue Date:__ Application No./Patent No.: Dispensing Device and Method for Forming Material Battelle Memorial Institute, a Incorporated Charitable Trust under Ohio, (Type of Assignes, e.g., corporation, partnership, university, government againsty, etc.) Non-Profit Law states that it is: 1. We the assignee of the entire right, title, and interest; or 2. an essignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. OR B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignes as shown below: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. _, Frame_ To: 2. From: The document was recorded in the United States Patent and Trademark Office at __, or for which a copy thereof is attached. Reel_ __, Frame_ To: 3. From: The document was recorded in the United States Patent and Trademark Office at _, Frame_ _, or for which a copy thereof is strached. [] Additional documents in the chain of title are listed on a supplemental sheet. [X] Copies of assignments or other documents in the chain of title are attached. INOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08) The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. <u>Bahlmann</u> ped or printed name Lova Signature Senior Vice-President and General

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Counsel

Title

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CLIFFORD

LIMITED LIABILITY PARTMENSHIP

ELECTROSOLS LIMITED

AND

BATTELLE (MEMORIAL INSTITUTE)

AGREEMENT FOR THE ASSIGNMENT OF INTELLECTUAL PROPERTY

BATTELLE

614 424 3864 P.03

CONTENTS

Cla	ausc .	Page
1.	Interpretation	2
2.	Assignment of Intellectual Property	3
3.	Licence-Back to ESL for the Purpose of ESL's Pfizer Obligations	
4.	Governing Law and Jurisdiction	3
5.	Counterparts.	3

BATTELLE

614 424 3864

P. 04

THIS AGREEMENT is made on

September 2001

BETWEEN:

- (1) ELECTROSOLS LIMITED (registered number 02758543) of 200 Aldersgate Street, London EC1A 4JJ ("ESL"); and
- (2) BATTELLE (MEMORIAL INSTITUTE), a non-stock Ohio corporation (SIC no. 8980), whose principal place of business is at 505 King Avenue, Columbus, Ohio 43201-2693, USA ("BMI").

INTRODUCTION:

- A. BMI proposes to enter into the Share Purchase Agreement on 28 September 2001 to acquire, among other things, the entire issued share capital of ESL.
- B. ESL owns certain Intellectual Property relating to electrohydrodynamic technology.
- C. Subject to, and with effect only on completion of the Share Purchase Agreement in accordance with its terms, the parties wish to assign all right, title and interest in the Intellectual Property to BMI pursuant to this Agreement.

THE PARTIES AGREE as follows:

- 1. INTERPRETATION
- 1.1 In this Agreement:

"Intellectual Property" means the patents and patent applications listed in Annex A;

"Know-How" means all information, knowledge, experience and data (including, without limitation, that embodied in readable form) in the possession of ESL licensed to BMI and later by assignment of the license to its subsidiary BPT (Battelle Pulmonary Therapeutics a subsidiary of BMI) by ESL in a license agreement dated 29 March 1999 for the Field of Pulmonary Delivery (as defined in that license);

"Pfizer" means Pfizer Limited, a company registered in England (registered number 00526209) and whose registered office is 261 Ramsgate Road, Sandwich, Kent CT13 9NJ; and

"Share Purchase Agreement" means the Agreement for the Sale and Purchase of R.A. Coffee Limited and Electrosols Limited between BMI and Ronald Alan Coffee and Russell Michael James Jackson Coffee and Alan Mark Stuart Jackson Coffee, a copy of which is Annex B.

- 1.2 In this Agreement, a reference to a clause, paragraph or schedule, unless the context otherwise requires, is a reference to a clause or paragraph of, or schedule t, this Agreement.
- 1.3 The headings in this Agreement do not affect its interpretation.

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2. ASSIGNMENT OF INTELLECTUAL PROPERTY

Subject to, and with effect only on completion of the Share Purchase Agreement in accordance with its terms, ESL hereby assigns all right, title and interest in the intellectual Property and Know-How to BMI in consideration of the payment to ESL by BMI of Three Million Six Hundred Thousand United States Dollars (\$3,600,000), representing the parties' best estimate of the current fair market value of the Intellectual Property. The parties may, after the date hereof, agree a change to the price paid if and to the extent that the estimation of the fair market value of the Intellectual Property (at the date of completion of the Share Purchase Agreement) shall subsequently change.

LICENCE-BACK TO ESL FOR THE PURPOSE OF ESL'S PFIZER OBLIGATIONS

- 3.1 BMI acknowledges that at the date of this Agreement ESL has granted Pfizer certain rights, including licence options, in respect of the Intellectual Property and Know-How.
- 3.2 BMI undertakes to license-back the Intellectual Property and Know-How to ESL under reasonable terms and conditions to be negotiated in good faith to the extent necessary to allow ESL to fulfil its obligations to Pfizer (if any) in respect of the Intellectual Property.

4. GOVERNING LAW AND JURISDICTION

This Agreement is governed by English law.

5. COUNTERPARTS

This Agreement may be executed in any number of counterparts, each of which when executed and delivered is an original and all of which together evidence the same agreement.

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P.06

EXECUTED by the parties:

Signed by) () (~ 1)
for and on behalf of) / / / / / / / / / / / / / / / / / / /
ELECTROSOLS LIMITED	RICHARD C. ADAMS DIRECTOR
	Signature RICHARD D. ROSEN DIRECTOR AND SECRETARY
Signed by)
for and on behalf of BATTELLE (MEMORIAL	
INSTITUTE)) Signature

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614 424 3864 P.07

ANNEX A

(Intellectual Property)

[SCHEDULE OF ELECTROSOLS PATENTS AND APPLICATIONS LICENSED TO BPT AS AT 26 SEPTEMBER 2001] BATTELLE

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